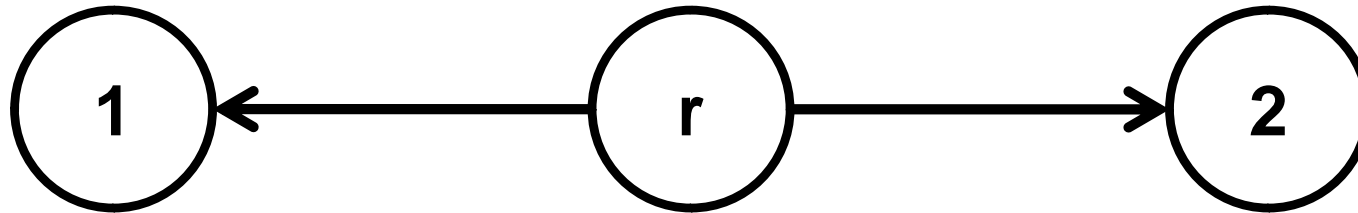


Lattice strategies for a multi-pair bi-directional relay network

Sang Joon Kim, Besma Smida, Natasha Devroye

2011. 8. 4

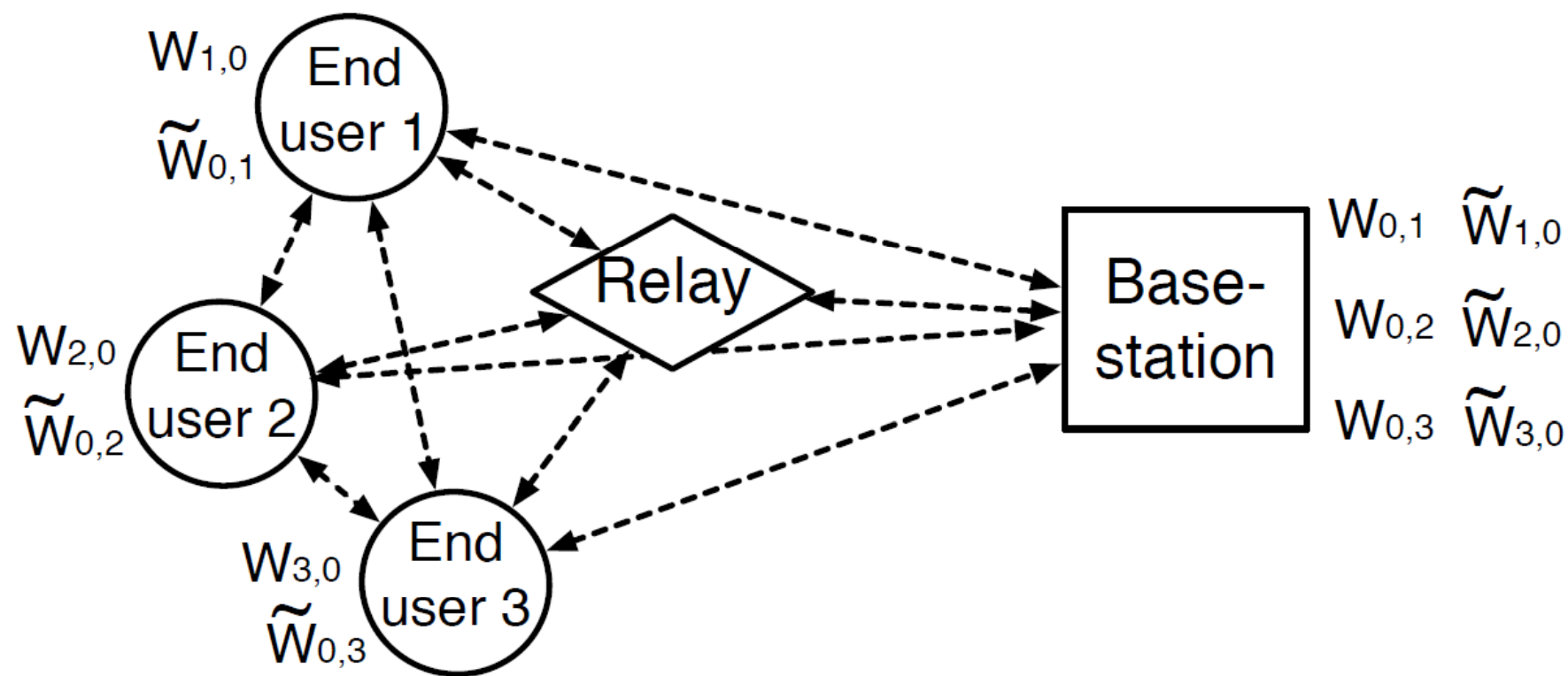
creation+



- **Half-duplex AWGN channel**

$$Y_i[k] = \sum_{j \neq i} h_{ji} X_j[k] + Z_i[k]$$

Channel model

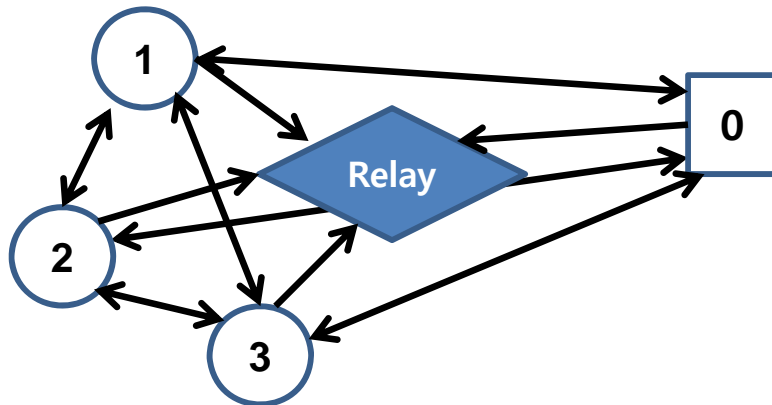


- One base station ($\mathbf{0}$), "m" terminal nodes ($\{\mathbf{1}, \mathbf{2}, \dots, \mathbf{m}\}$), and one relay node (\mathbf{r})
- $2m$ messages between base station and "m" terminal nodes, $\{W_{0,i}\}$'s and $\{W_{i,0}\}$'s where $i \in \{1, 2, \dots, m\}$.

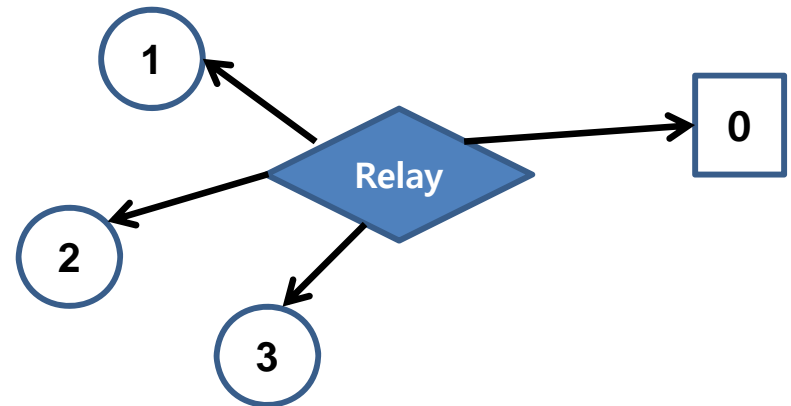
Protocols

Half-duplex → time “phases” = protocols

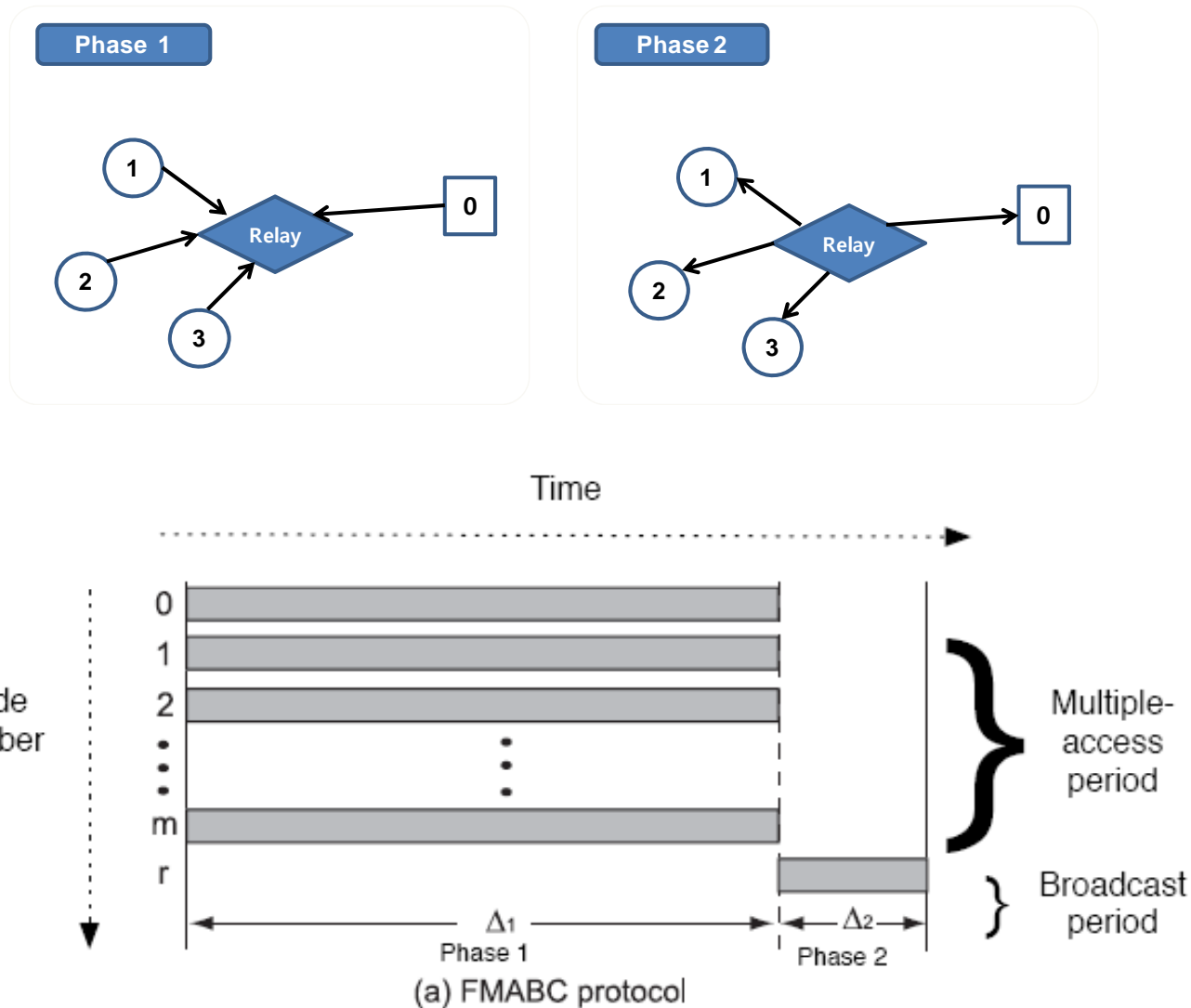
MAC Phase



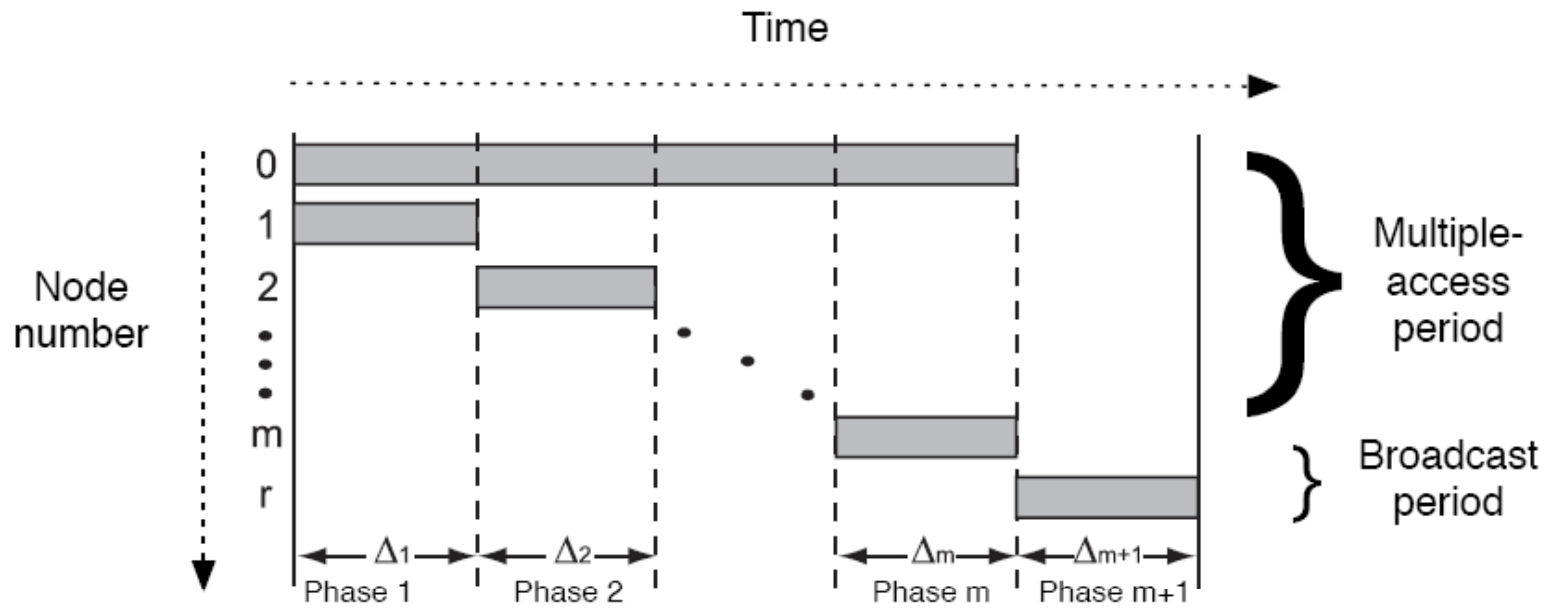
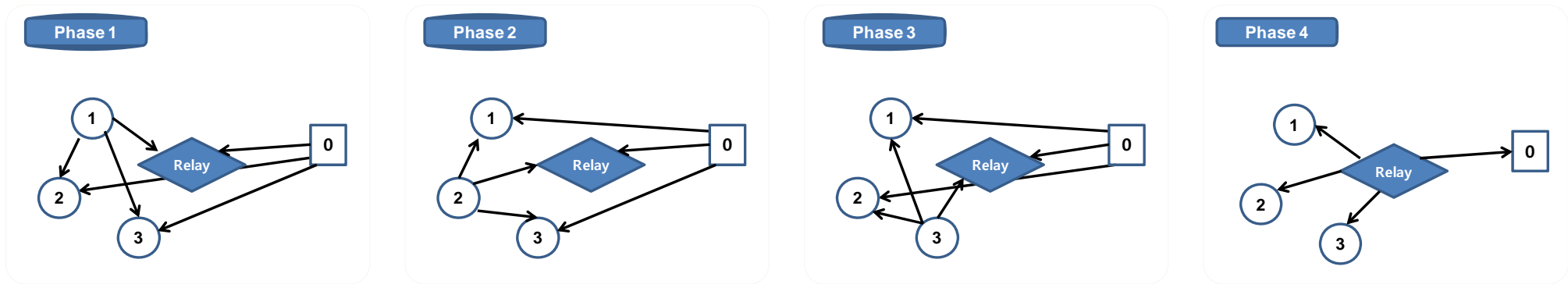
BC Phase



I. FMABC protocol (Full Multiple Access Broadcast)



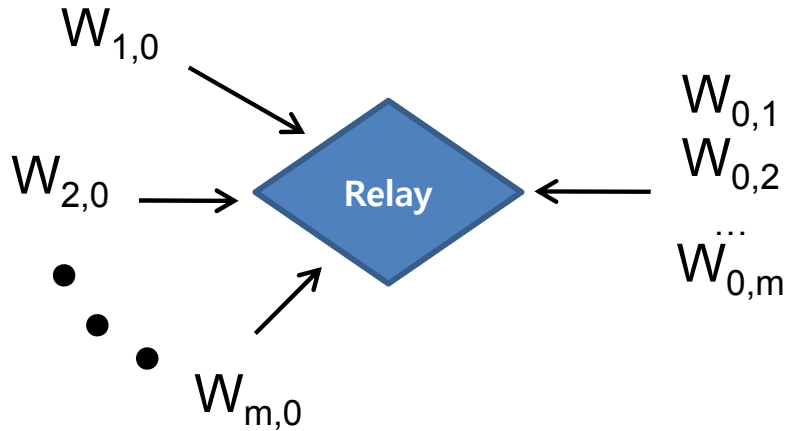
II. PMABC protocol (Partial Multiple Access Broadcast)



(b) PMABC protocol

Relaying scheme

- Message sum Decode and forward using Lattice structures



Decode message sum w/ lattice structures (**this work**)

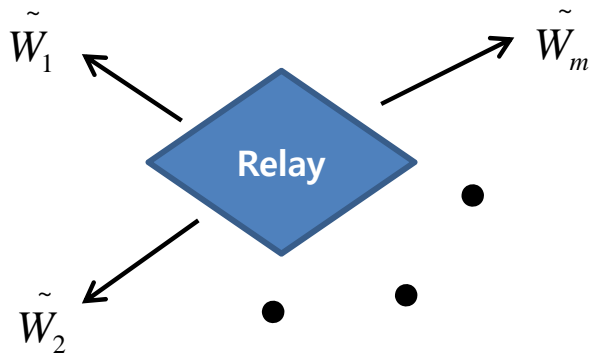
$$\tilde{W}_1 = W_{1,0} + W_{0,1}$$

$$\tilde{W}_2 = W_{2,0} + W_{0,2}$$

•
•
•

$$\tilde{W}_m = W_{m,0} + W_{0,m}$$

- Broadcast “m” messages w/ side information



Marton's coding with side information at receivers ([kim, Smida, Devroye 2010])

- Node 0 decodes all $\{W_{i,0}\}$'s
- Node i decodes $W_{0,i}$ only

Coding scheme (Lattice code)

- **Nested lattice chain**

$$\Lambda \subseteq \Lambda_C, \sigma^2(\Lambda) = P$$

$$R = \frac{1}{n} \log \left(\frac{|\Lambda|}{|\Lambda_C|} \right)$$

$$R \leq \log \left(\frac{P_C}{P_{\hat{z}}} \right)$$

When $\alpha = 1$ $R \leq \log \left(\frac{P}{N} \right)$

When $\alpha = \frac{P}{P+N}$ $R \leq \log \left(1 + \frac{P}{N} \right)$

- **Dithering**

- “u” is uniformly distributed in Λ
- Both TX and RX know “u”

$$x = (c - u) \bmod \Lambda$$

- **Inflated lattice decoding**

- **partially** remove dither to minimize effective noise

$$\begin{aligned} \hat{y} &= (\alpha y + u) \bmod \Lambda \\ &= (c + \hat{z}) \bmod \Lambda \end{aligned}$$

$$\hat{z} = (1 - \alpha)x + \alpha z$$

Related work

Two-way lattice coding

- **Encoding**

$$x_1 = (c_1 - u_1) \bmod \Lambda_1$$

$$x_2 = (c_2 - u_2) \bmod \Lambda_2$$

- **Decoding**

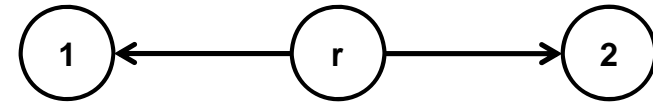
$$y_r = x_1 + x_2 + z_r$$

$$y_{r1} = (\alpha y_r + (u_1 + u_2)) \bmod \Lambda_1$$

$$= (c + z_{r1}) \bmod \Lambda_1$$

$$c = Q_C(y_{r1})$$

Euclidian lattice decoding



- **Variables and conditions**

$$\Lambda_1 \subseteq \Lambda_2 \subseteq \Lambda_C, \sigma^2(\Lambda_i) = P_i$$

$$C_i = \{\Lambda_C \bmod \Lambda_i\} \quad u_i : \text{dither}$$

$$c = c_1 + c_2$$

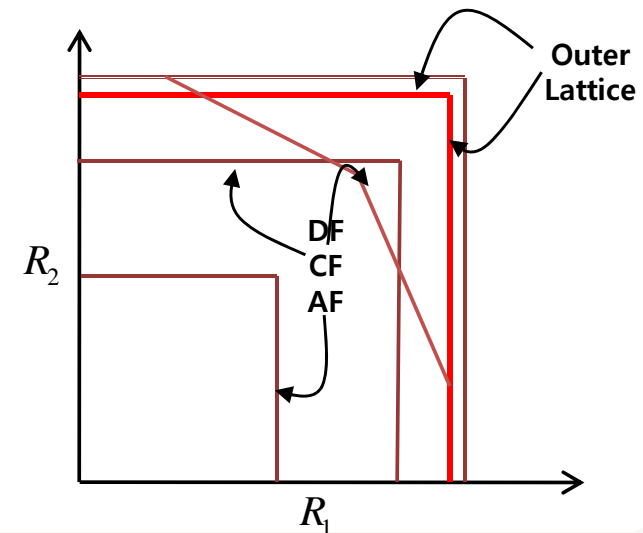
$$z_{r1} = -(1 - \alpha)(x_1 + x_2) + \alpha z_r$$

Q_C : Lattice quantizer in Λ_C

- **Bounds**

	Achievability	Outer bound
R_1	$\left[\log_2 \left(\frac{P_1}{P_1 + P_2} + \frac{P_1}{N_r} \right) \right]^+$	$\log_2 \left(1 + \frac{P_1}{N_r} \right)$
R_2	$\left[\log_2 \left(\frac{P_2}{P_1 + P_2} + \frac{P_1}{N_r} \right) \right]^+$	$\log_2 \left(1 + \frac{P_2}{N_r} \right)$

Const. gap



** W. Nam, S. Y. Chung, and Y. Lee, "Capacity of the Gaussian Two-Way Relay Channel to Within 1/2 Bit," *IEEE Trans. Inform. Theory*, vol. 56, no. 11, pp. 5488–5494, Nov. 2010.

Related work

Multi-pair Two-way lattice coding (successive decoding)

- **Encoding**

$$x_i = (c_i - u_i) \bmod \Lambda_i$$

- **Decoding**

$$y_r = \sum_{i=1}^{2M} x_i + z_r$$

$$y_{r1} = (\alpha_1 y_r + (u_1 + u_2)) \bmod \Lambda_1$$

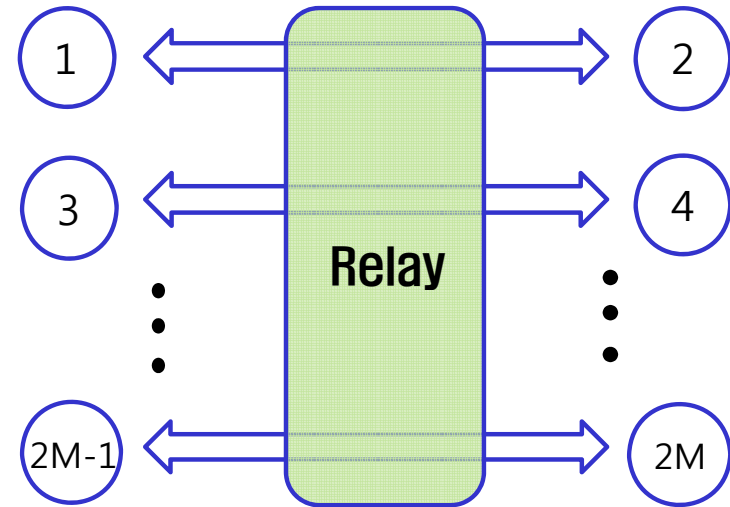
$$= (c_1^{sum} + z_{r1}) \bmod \Lambda_1$$

$$c_1^{sum} = Q_C(y_{r1})$$

$$x_1 + x_2 = (c_1^{sum} - (u_1 + u_2)) \bmod \Lambda_1$$

$$y_{r2} = (\alpha_1 (y_r - (x_1 + x_2)) + (u_3 + u_4)) \bmod \Lambda_1$$

Successive decoding



- **Limitation**

$$x_1 + x_2 = (c_1 - u_1) \bmod \Lambda_1 + (c_2 - u_2) \bmod \Lambda_2$$

$$\neq (c_1 + c_2 - u_1 - u_2) \bmod \Lambda_1$$

$$= (c_1^{sum} - (u_1 + u_2)) \bmod \Lambda_1$$

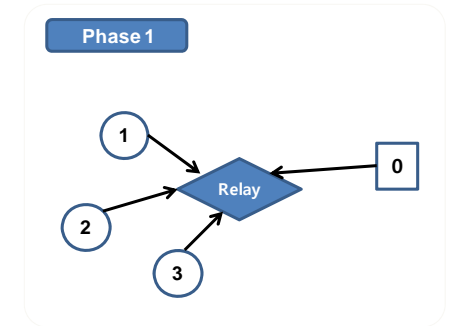
** cannot decode $x_1 + x_2$ from c_1^{sum} if the channel is not symmetric

** D. Gunduz, A. Yener, A. Goldsmith, and H. Poor, "The multi-way relay channel," in Proc. IEEE Int. Symp. Inform. Theory, Seoul, Jul. 2009, pp.339–343.

Coding scheme

FMABC protocol

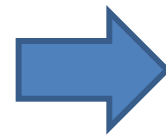
$$y_r = x_{01} + x_{02} + x_{03} + x_{10} + x_{20} + x_{30} + z_r$$



Relay decodes :

$$\begin{aligned}\tilde{t}_1 &= \tilde{c}_1 \\ \tilde{t}_2 &= \tilde{c}_1 + \tilde{c}_2 \\ \tilde{t}_3 &= \tilde{c}_1 + \tilde{c}_2 + \tilde{c}_3\end{aligned}$$

reconstruction



$$\begin{aligned}\tilde{c}_1 &= \tilde{t}_1 \\ \tilde{c}_2 &= \tilde{t}_2 - \tilde{t}_1 \\ \tilde{c}_3 &= \tilde{t}_3 - \tilde{t}_2\end{aligned}$$

** $c_i = c_{0i} + c_{i0}$: pair-wise lattice sum

Coding scheme

FMABC protocol

• Encoding

$$x_{0i} = (c_{0i} - u_{0i}) \bmod \Lambda_{0i}$$

$$x_0 = x_{01} + x_{02} + \dots + x_{0m}$$

$$x_{i0} = (c_{i0} - u_{i0}) \bmod \Lambda_{i0}$$

• Decoding

$$y_r = \sum_{i=1}^M (x_{0i} + x_{i0}) + z_r$$

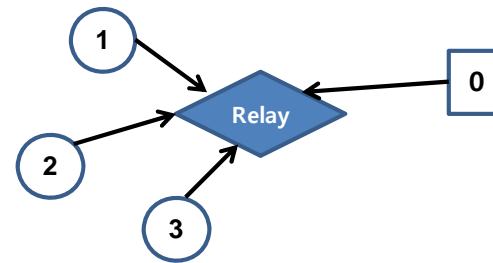
for $1 \leq i \leq l$

$$y_{r,i} = (\alpha_i y_r + \sum_{j=1}^M g_{ji} (u_{0j} + u_{j0})) \bmod \Lambda_{\max}$$
$$= (t_i + z_{r,i}) \bmod \Lambda_{\max}$$

$$t_i = Q_C(y_{r,i})$$

$$c = tH \bmod \Lambda_{\max}$$

Phase 1



• Two step decoding: **Linear combination**

$$t = cG \bmod \Lambda_{\max} \quad G \in \{0,1\}^{m \times l}, H \in \mathbb{Z}^{l \times m}$$

$$c = tH \bmod \Lambda_{\max} \quad GH = I$$

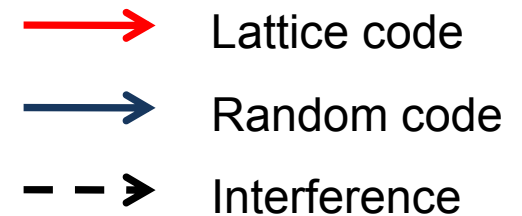
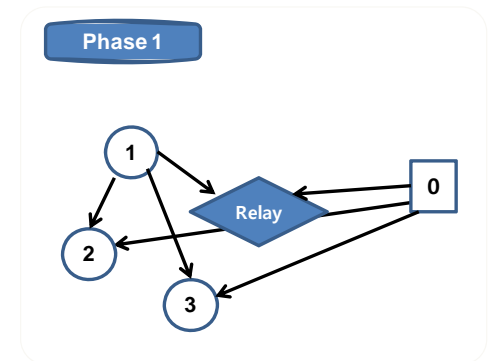
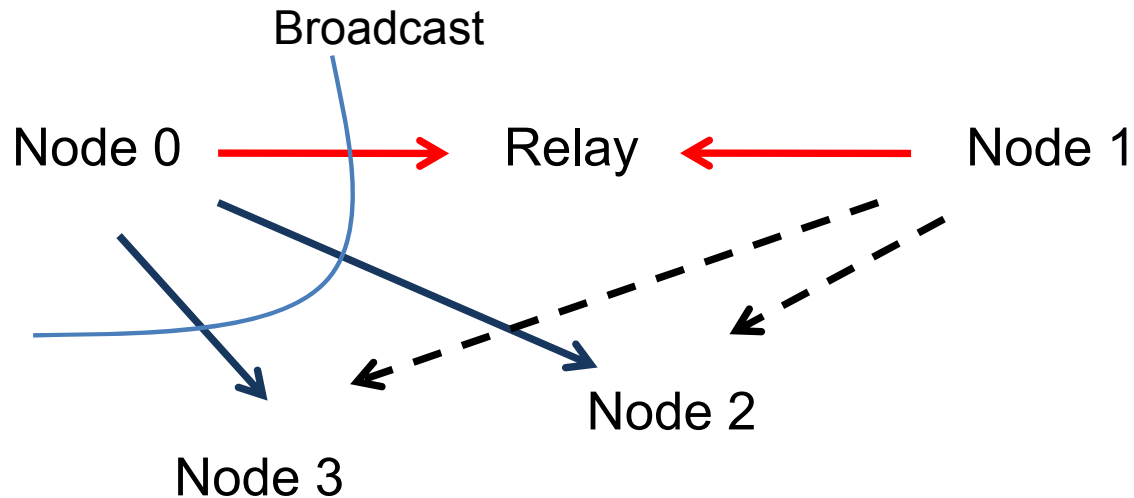
** decode "t" first by linear combination of lattice sum
→ extract "c" from G and H

• Example of G,H

$$G = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix}, H = \begin{bmatrix} 1 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Lattice code

PMABC protocol



At phase i

- Lattice encoding for the i^{th} message pair
- Broadcasting $\{W_{0,i}\}$'s
- Using direct link side information

Achievable rate region

FMABC protocol

Theorem 2: An achievable rate region of the half-duplex bi-directional Gaussian relay channel under the FMABC protocol is the convex hull of the set of all points $(R_{0,b}, R_{b,0})$ for all $b \in \mathcal{B}$ satisfying

$$R_{0,i} < \Delta_1 \min_{j \in g(i)} \left\{ \left[\log_2 \left(\frac{|h_{0,r}|^2 P_{0i}}{\sum_{k=1}^m g_{jk} (|h_{0,r}|^2 P_{0k} + |h_{k,r}|^2 P_k)} + \frac{|h_{0,r}|^2 P_{0i}}{\sum_{k=1}^m (1 - g_{jk}) (|h_{0,r}|^2 P_{0k} + |h_{k,r}|^2 P_k) + 1} \right) \right]^+ \right\}$$

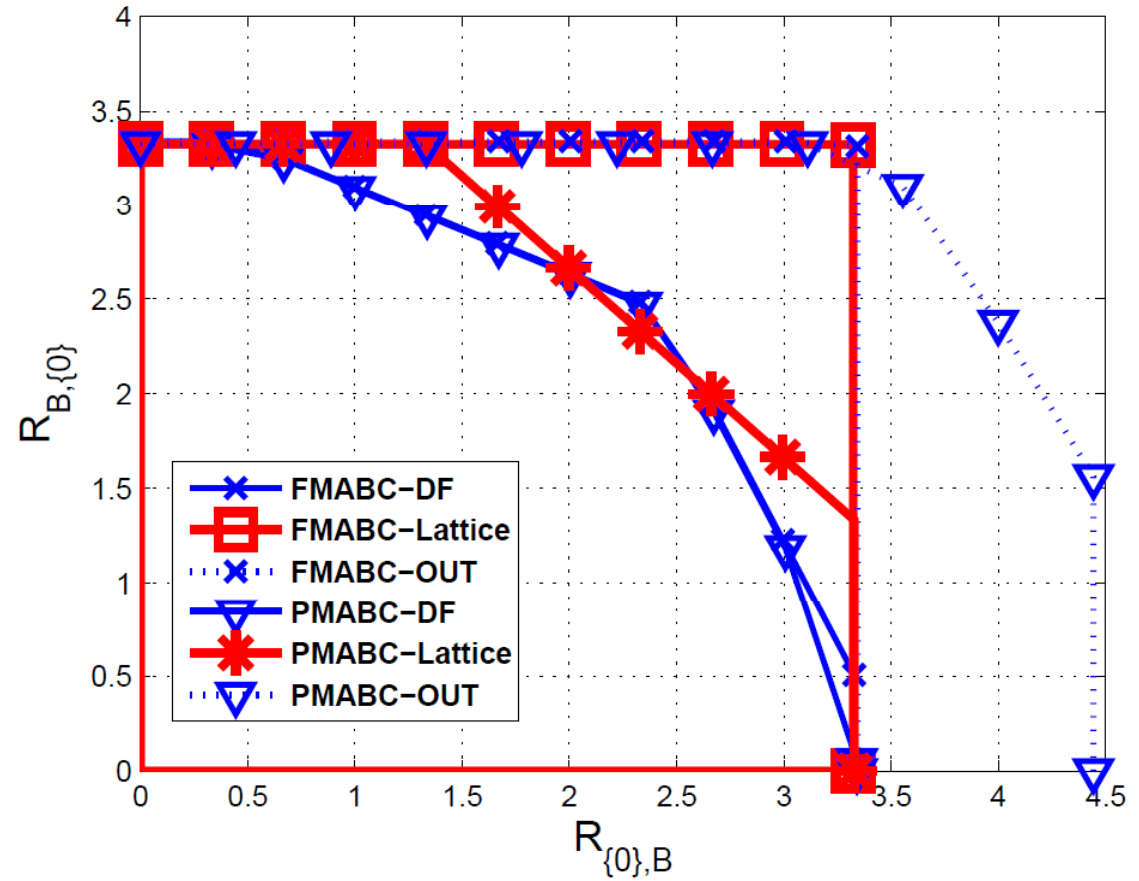
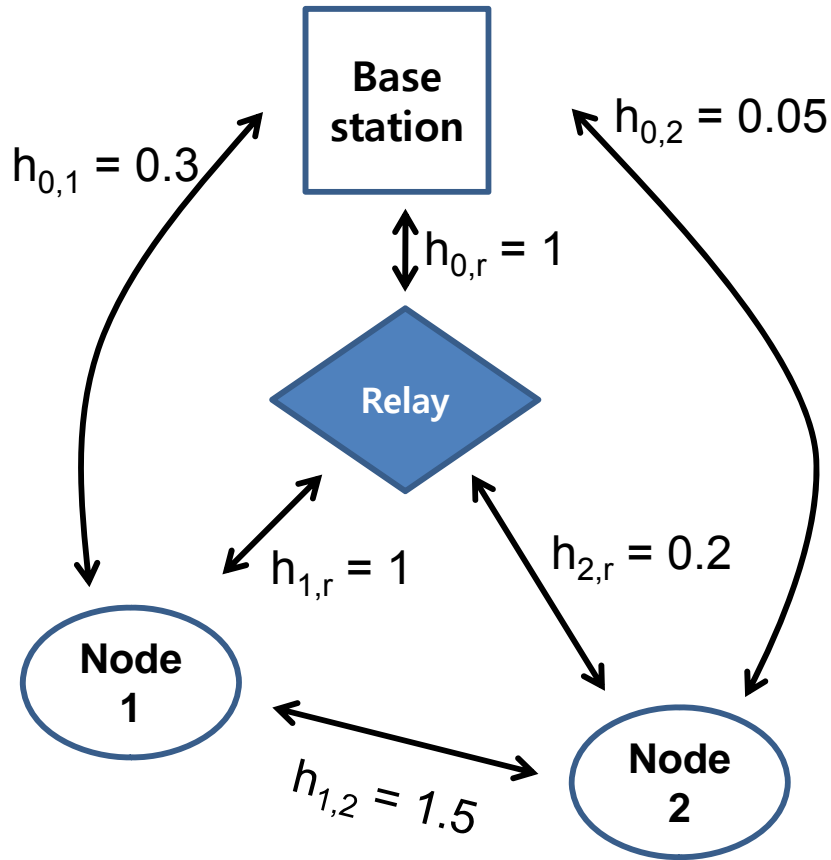
$$R_{i,0} < \Delta_1 \min_{j \in g(i)} \left\{ \left[\log_2 \left(\frac{|h_{i,r}|^2 P_i}{\sum_{k=1}^m g_{jk} (|h_{0,r}|^2 P_{0k} + |h_{k,r}|^2 P_k)} + \frac{|h_{i,r}|^2 P_i}{\sum_{k=1}^m (1 - g_{jk}) (|h_{0,r}|^2 P_{0k} + |h_{k,r}|^2 P_k) + 1} \right) \right]^+ \right\}$$

$$R_{\{0\},S} < \sum_{i \in S} \Delta_2 C_B(U_{ri}, Y_i) - \Delta_2 C_B(U_{ri}, U_{rS(i)})$$

$$R_{S,\{0\}} < \Delta_2 C_B(U_{rS}, Y_0 \cup U_{r\bar{S}})$$

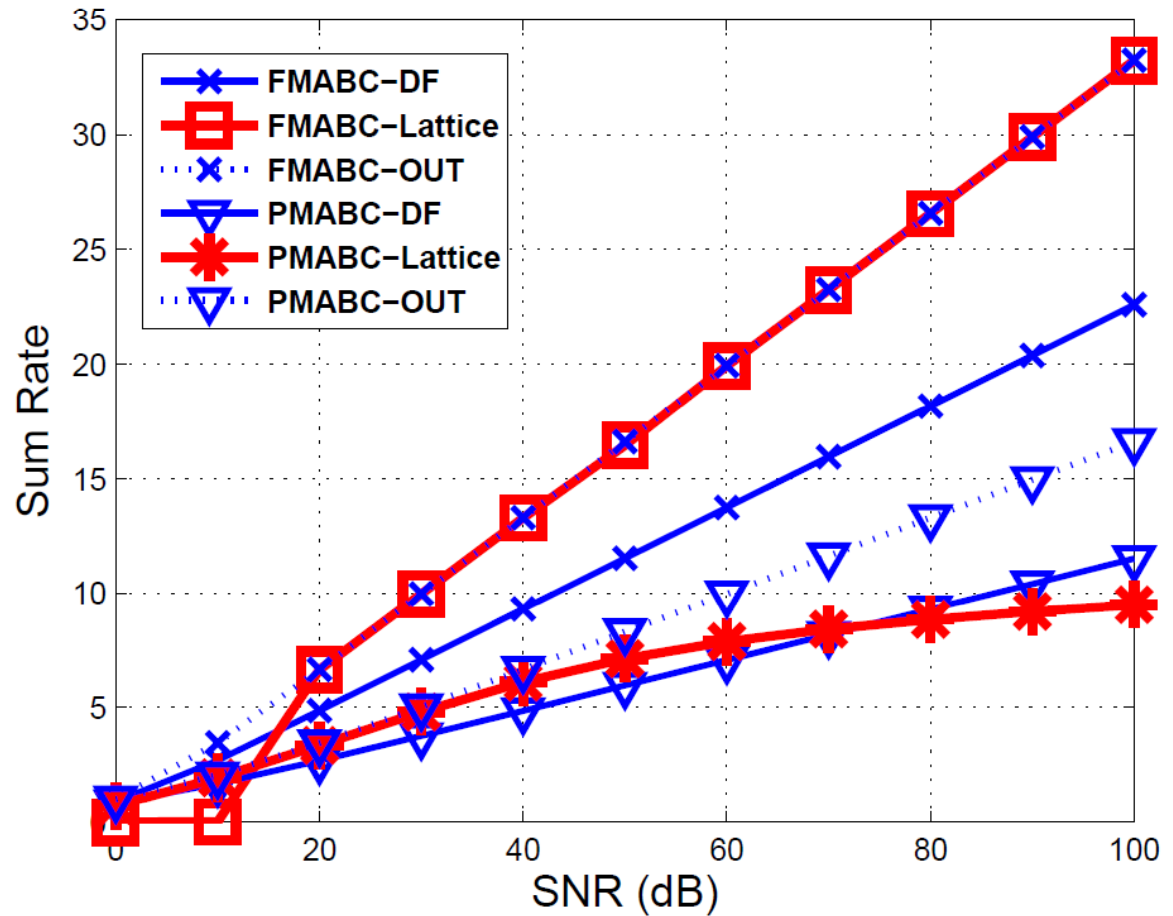
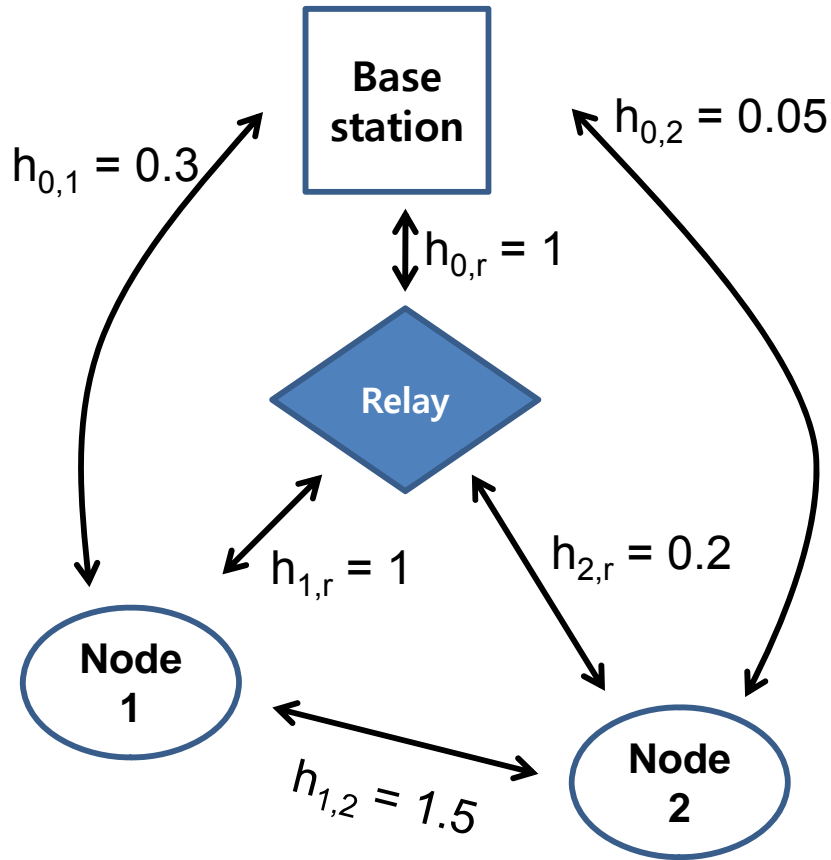
where $g(i) := \{j | g_{ij} = 1\}$ for $i \in \mathcal{B}$, $S \subseteq \mathcal{B}$ over all possible combination matrices \mathbf{G} . □

Achievable rate region



** $P_0 = P_1 = P_2 = P_r = 20$ dB, $B = \{1,2\}$

Sum rate comparison



Constant gap

Theorem: In the multiple access division of the FMABC protocol, if $|h_{1,r}| = |h_{2,r}| = \dots = |h_{m,r}|$ and $P_1 = P_2 = \dots = P_m$, $\forall S \subseteq \{1, 2, \dots, m\}$

$$R_{\{0\},S}^{out} - R_{\{0\},S}^{in} \leq |S|$$

$$R_{S,\{0\}}^{out} - R_{S,\{0\}}^{in} \leq |S|$$

Where $R_{S,T}^{in}$ is the maximum rate of the lattice code achievable rate region from set S to set T and $R_{S,T}^{out}$ is the minimum sum-rate of the cut-set outer bound from set S to set T.

Conclusion

- Derive achievable rate regions of two temporal protocols using lattice sum decode and forward.
- In the FMABC protocol, the proposed scheme outperforms the DF scheme and very tight to cut-set outer bound.
- In the PMABC protocol, there exists performance trade off between DF and the proposed schemes.
- In the FMABC protocol, a constant gap between cut-set and the lattice inner bound.